

Thinking Transport as a Twist

Cristian Giardinà · Jorge Kurchan

Received: 13 October 2008 / Accepted: 31 March 2009 / Published online: 17 April 2009
© Springer Science+Business Media, LLC 2009

Abstract The determination of the conductivity of a deterministic or stochastic classical system coupled to reservoirs at its ends can in general be mapped onto the problem of computing the stiffness (the ‘energy’ cost of twisting the boundaries) of a quantum-like system. The nature of the coupling to the reservoirs determines the details of the mechanical coupling of the torque at the ends.

1 Introduction

The transport properties of physical systems reserve many surprises, particularly in low dimensions, and despite many decades of efforts, a general theory is surprisingly not yet available [1, 2]. This is true even at the classical level.

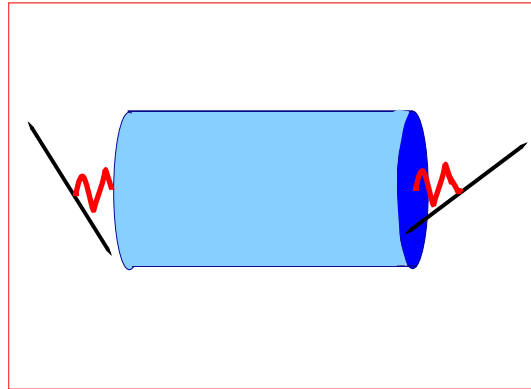
The conductivity of low dimensional systems is often anomalous, with transport coefficients diverging with the system size. In such cases, the nature of the contact with the reservoirs at the boundaries becomes an issue. For example, the thermal conductivity of a finite chain becomes zero not only in the obvious case in which the contact is bad, but also in the limit when it is too good [2, 3]. A conductivity computed on the basis of a closed chain without reservoirs can clearly not take into account these effects, and in any concrete physical realization of transport with anomalous properties, one has to consider the system as composed of both the bulk and the bath.

Spin and charge currents in *closed quantum* chains have been related to the corresponding stiffnesses [4, 5]. This relation between a transport and an equilibrium property has been very fruitful. More recently, the question of a quantum open chain without baths has been addressed [6].

C. Giardinà
Department of Mathematics and Computer Science, Eindhoven University, P.O. Box 513, 5600 MB
Eindhoven, The Netherlands
e-mail: c.giardina@tue.nl

J. Kurchan (✉)
CNRS-ESPCI, Rue Vauquelin 10, 75231 Paris, France
e-mail: jorge@pmmh.espci.fr

Fig. 1 Stiffness of a bar twisted by elastic handles



In this paper we follow a different path. We shall establish a general correspondence between i) the transport properties of any classical (stochastic or deterministic) system *in contact with reservoirs* at its boundary, and ii) the stiffness (or helicity modulus [7]) of a zero-temperature system that is perturbed at the ends by a twist applied through two elastic ‘handles’ (see Fig. 1). In this mapping, the details of the coupling between these handles and the system are important and reflect the nature of the coupling between reservoirs and the lattice in the original setting.

As we shall see, the correspondence we discuss here works at a different level from the one of Kohn [4] and Shastry-Sutherland [5]. Here, the stiffness one calculates is that of the *evolution operator*¹ and not of the energy itself.²

The plan of the paper is the following. In the next section we introduce our setting, recall the definition of conductivity and of stiffness and explain our strategy. We will find convenient to use the operator bra-ket notation by which the probability distribution of (1) is associated to a Hilbert space quantum-like ‘state’; this is described in Sect. 3, together with two examples that will be repeatedly used in the paper. Armed with this preliminaries, we first show in Sect. 4 that the bulk current in a transport model subject to a gradient in the boundary conditions can be expressed in terms of a ‘helical’ operator. We then use linear response theory to rederive in Sect. 5 two equivalent expressions of the finite volume conductivity: the first coincides with the standard Green-Kubo formula (i.e., time integral of the *bulk current autocorrelation function*); the second one involves the autocorrelation function of an operator which depends only on the *boundaries*. This second expression allows us to show that the conductivity is proportional to the stiffness of the evolution operator when a twist is exerted at the boundaries. This is shown in Sect. 6, which is then followed by conclusions.

2 Transport Model

Consider an extended system made of N components whose temporal evolution is either deterministic (Hamiltonian) or stochastic and whose boundaries are coupled to reservoirs.

¹In this sense, it is close in spirit to the treatment in [8].

²And in the quantum generalization of the present work, the twist will act on the closed time path action, involving twice as many fields, and not on the original Hamiltonian.

A convenient description of the system is given by the evolution (Fokker-Planck, Liouville, ...) equation

$$\begin{aligned}\frac{\partial}{\partial t}\mu(x, t) &= -H\mu(x, t), \\ \mu(x, t) &= e^{-tH}\mu(x, t=0)\end{aligned}\quad (1)$$

where

- $\mu(x, t)$ is the probability distribution in a point x of the phase/configurations space at time t .
- H is the evolution operator which is assumed of the form

$$H = H_B + \lambda(H_L(\alpha_L) + H_R(\alpha_R)). \quad (2)$$

Here H_B denotes the bulk evolution, whereas $H_L(\alpha_L)$ and $H_R(\alpha_R)$, which depend on a parameter α , describe the interaction with reservoirs connected at the left/right boundaries, respectively. We have made explicit the strength of the coupling to the reservoirs with the parameter λ .

2.1 Conductivity

We consider situations when the *bulk* evolution has a constant of motion $E(x)$ —typically the energy or the mass. We shall assume $E(x)$ is spatially local: it is the sum of N local contributions

$$E = \sum_{i=1}^N E_i, \quad (3)$$

each E_i a function of the site i or its near-neighbors.

A probability distribution function that is concentrated on an energy (or any other conserved quantity) shell $\delta[E(x) - E_o]$ satisfies:

$$E(x)\mu(x, t) = E_o\mu(x, t) \quad (4)$$

so that the Hilbert space breaks into subspaces corresponding to different values of the conserved quantity. If the stochastic evolution H_B is conservative, there are no transitions between shells, so H_B is of block form, each one corresponding to a value of E_o . This in turn implies that E commutes with the bulk evolution operator:

$$[E, H_B] = 0. \quad (5)$$

The reservoirs violate the conservation of E

$$[E, H_L] \neq 0; \quad [E, H_R] \neq 0, \quad (6)$$

and, in addition, if the values of the parameter $\alpha_L \neq \alpha_R$ are different, a current is established, a stationary state eventually sets in and one has transport of the quantity E from one boundary to the other. Examples are:

- when the parameter is the temperature, $\alpha = T$, one has transport of energy;

– when the parameter is a particle density, $\alpha = \rho$, one has transport of mass.

If $\alpha_R - \alpha_L$ is small, in the stationary regime the averaged total current across all links $\langle \mathcal{J} \rangle$ is linear:

$$\langle \mathcal{J} \rangle \sim \kappa_N (\alpha_R - \alpha_L) \tag{7}$$

which defines the conductivity κ_N for a system of size N .

2.2 Stiffness

In order to recall the definition of the stiffness let us consider a simple example totally unrelated to the context of transport models. Suppose we are given a *quantum* Hamiltonian

$$\bar{H} = \bar{H}_B + \lambda(\bar{H}_L + \bar{H}_R) \tag{8}$$

with a bulk part

$$\bar{H}_B = - \sum_{i=1}^N \hbar^2 \frac{\partial^2}{\partial x_i^2} - \sum_{i=1}^{N-1} \cos(x_{i+1} - x_i) \tag{9}$$

which clearly has symmetry with respect to simultaneous shift of all angles. The expectation of the global angle is fixed by two boundary terms:

$$\bar{H}_L = - \cos(x_1); \quad \bar{H}_R = - \cos(x_N) \tag{10}$$

which impose an optimal average profile $\langle x_i \rangle = 0$ for all $i \in \{1, \dots, N\}$. If we wish to calculate the stiffness, taking into account the specific coupling, we do the following: we compute first the lowest eigenvalue ϵ^o of $\bar{H}_B + \lambda(\bar{H}_L + \bar{H}_R)$. Next, we twist the two ‘handles’

$$\bar{H}_L^{\text{twisted}} = - \cos(x_1 + \theta); \quad \bar{H}_R^{\text{twisted}} = - \cos(x_N - \theta) \tag{11}$$

and calculate the new lowest eigenvalue ϵ^θ . In the limit where the coupling $\lambda \rightarrow 0$ then, of course, imposing the twist has no effect. In the opposite limit $\lambda \rightarrow \infty$ then $x_1 = -\theta$ and $x_N = \theta$ are strictly imposed. For a finite value of the coupling $0 < \lambda < \infty$ there is a competition between the bulk lattice which prefers a flat profile and the boundaries which force a gradient. In the framework of elasticity theory, the stiffness σ_N measures the cost of twisting and is defined from

$$\epsilon^\theta - \epsilon^o \sim \frac{1}{2} \frac{\sigma_N}{(N - 1)} (2\theta)^2, \tag{12}$$

valid to first order in θ^2 . The factor $N - 1$ assures a good scaling for large N in a system with normal elasticity.

To define the stiffness of the transport model, which includes bulk and reservoirs, we proceed in a similar manner. We think of the evolution operator H in formula (1) as a quantum-like, albeit non-Hermitian, Hamiltonian. We can interpret (5) as an invariance of the bulk with respect to ‘rotations’ of angle θ generated by a group $e^{i\theta E}$.

The boundary couplings break this invariance (cf. (6)), we can use them as ‘handles’ to impose a ‘twist’ by applying the transformation in opposite directions at the ends:

$$H_R^{\text{twisted}} = e^{i\theta E} H_R e^{-i\theta E}; \quad H_L^{\text{twisted}} = e^{-i\theta E} H_L e^{i\theta E} \tag{13}$$

The (zero-temperature) stiffness σ of the handle+bulk system is defined as in (12) from the increase in the lowest eigenvalue of $H^{\text{twisted}}(\theta) = H_R^{\text{twisted}} + H_B + H_L^{\text{twisted}}$ for small θ .

The results we shall show in what follows is that *the conductivity κ is, up to a trivial factor, equal to the stiffness σ* . In general, anomalous conductivity amounts to the system becoming *rigid*, due to long-range correlations. When the coupling to the bath is weak, the conductivity is small: the stiffness is also weak because the handles turn without affecting the system. In the opposite case, when the coupling is too strong, the conductivity of a system with anomalous diffusion may go to zero. From the point of view of elasticity, what happens is that the handles twist strongly the first and last sites of the chain, and it is more favorable for a stiff system to concentrate all the twist between the first two (and between the last two) sites.

3 Bracket Notation

A convenient way to write the evolution equation (1) is provided by the bra-ket formalism. The probability distribution at time t is encoded in the state $|\psi(t)\rangle$, namely

$$|\psi(t)\rangle = \int dx \mu(x, t) |x\rangle, \quad (14)$$

where $|x\rangle$ denotes a vector which together with its transposed $\langle x|$ form a complete basis of a Hilbert space and its dual, that is

$$\langle x|x'\rangle = \delta(x - x'). \quad (15)$$

It immediately follows that

$$\langle x|\psi(t)\rangle = \mu(x, t) \quad (16)$$

and the evolution equation (1) takes the form of a Schrödinger equation with imaginary time

$$\frac{d}{dt} |\psi(t)\rangle = -H |\psi(t)\rangle. \quad (17)$$

To compute expectation we introduce the flat state

$$\langle -| = \int dx \langle x| \quad (18)$$

which is such that

$$\langle -|x\rangle = 1. \quad (19)$$

Then for any observable A we have that its expectation value at time t can be written as

$$\langle A(t)\rangle = \int dx \mu(x, t) A(x) = \langle -|A|\psi(t)\rangle. \quad (20)$$

Conservation of probability implies that

$$\langle -|H = 0. \quad (21)$$

The stationary state $|\psi\rangle$ satisfies

$$H|\psi\rangle = 0. \tag{22}$$

For an isolated system, namely $\lambda = 0$, the invariant measure are given by any arbitrary function of E , as it is immediately seen from (5). For example the microcanonical ensemble is given by the uniform measure

$$|\psi\rangle_e = \int dx \delta(E(x) - Ne)|x\rangle. \tag{23}$$

In the presence of reservoirs, namely $\lambda \neq 0$, the stationary state is in general not known. The boundaries operators $H_{L/R}$ representing the action of the reservoirs are chosen such that, when the bath parameters are equal $\alpha_L = \alpha_R = \alpha$ the unique invariant measure is given by the equilibrium Boltzman-Gibbs measure

$$|\psi\rangle_{\tilde{\alpha}} = \int dx \frac{e^{-\tilde{\alpha}E(x)}}{Z}|x\rangle, \tag{24}$$

where Z is the normalizing partition function

In the following we will denote the equilibrium Boltzman-Gibbs state $|\psi\rangle_{\tilde{\alpha}}$ with $|\tilde{\alpha}\rangle$. In case the system has a discrete configuration space, integrals in all the previous formulas are replaced by discrete sums and Dirac delta functions are replaced by Kronecker delta functions.

The relation between α and $\tilde{\alpha}$ is easy to obtain for each problem using the fact that the equilibrium state $|\tilde{\alpha}\rangle$, see (24), is annihilated by the boundary ‘bath’ operators $H_{L/R}(\alpha)$

$$\left(H_{L/R}(\alpha)\right)|\tilde{\alpha}\rangle = 0. \tag{25}$$

This is because, by assumption, the bath terms leave the corresponding equilibrium structure stationary.

Example A (Hamiltonian systems)

A large class of systems is represented by a model composed of N point-like particles interacting with their nearest neighbours. This is described by the Hamiltonian

$$E = \sum_{i=1}^N \frac{p_i^2}{2} + \sum_{i=1}^{N-1} V(q_{i+1} - q_i). \tag{26}$$

The evolution equation (1) holds with $x = (q_1, \dots, q_N, p_1, \dots, p_N)$ a point in phase space. The bulk evolution operator is the Liouville operator

$$H_B = \{, E\} = \sum_{i=1}^N p_i \frac{\partial}{\partial q_i} - \frac{\partial V}{\partial q_i} \frac{\partial}{\partial p_i}, \tag{27}$$

where $\{, \}$ denotes the Poisson brackets, that is for any functions f and g

$$\{f, g\} = \sum_{i=1}^N \frac{\partial f}{\partial q_i} \frac{\partial g}{\partial p_i} - \frac{\partial f}{\partial p_i} \frac{\partial g}{\partial q_i}. \tag{28}$$

The constant of motion for the bulk evolution is the total bulk energy E . Indeed H_B obviously commutes with the operator that multiplies by E :

$$H_B(Ef) = \{Ef, E\} = E\{f, E\} + f\{E, E\} = E\{f, E\} = E(H_B f) \quad \forall f. \quad (29)$$

To model the interaction with the boundaries, stochastic heat reservoirs can be taken as Ornstein-Uhlenbeck processes whose variances $T_{L/R}$ specify the temperature of the bath. This is represented by boundaries evolution operators

$$-H_L(T_L) = \frac{\partial}{\partial p_1} \left(T_L \frac{\partial}{\partial p_1} + p_1 \right), \quad (30)$$

$$-H_R(T_R) = \frac{\partial}{\partial p_N} \left(T_R \frac{\partial}{\partial p_N} + p_N \right). \quad (31)$$

When the two thermal reservoirs have the same temperature $T_L = T_R = T$, the stationary state $|\psi\rangle_\beta$ is the Boltzman-Gibbs equilibrium measure

$$|\psi\rangle_\beta = |\beta\rangle = \int dx \frac{e^{-\beta E(x)}}{Z} |x\rangle, \quad (32)$$

where the inverse temperature $\beta = 1/T$ is such that

$$\langle E \rangle = \langle -|E|\beta \rangle = T. \quad (33)$$

Example B (Simple symmetric exclusion process)

Another class of models we consider are continuous time Markov processes. With the restriction of a finite configuration space \mathcal{S} , the process is specified by assigning the rates of transition $w(\sigma', \sigma)$ for jumping from a configuration $\sigma \in \mathcal{S}$ to a configuration $\sigma' \in \mathcal{S}$. The master equation for $\mu(\sigma, t)$, the probability distribution of a configuration σ at time t , then reads

$$\frac{d\mu(\sigma, t)}{dt} = \sum_{\sigma' \neq \sigma} (w(\sigma, \sigma')\mu(\sigma', t) - w(\sigma', \sigma)\mu(\sigma, t)) = -H\mu(\sigma, t). \quad (34)$$

To be more definite we will work with the simple symmetric exclusion process which can be expressed—in an operator formalism—as an $SU(2)$ ferromagnet of spin $1/2$ [9]. This corresponds to the stochastic process on the lattice $\{1, \dots, N\}$ where particles jumps at rate 1 to one of their neighbours and each site can accommodate at most 1 particle per site. Configurations $n \in \{0, 1\}^N$ are then identified with ket states

$$|n\rangle = |n_1, \dots, n_N\rangle = \bigotimes_{i=1}^N |n_i\rangle, \quad (35)$$

which specify the occupation numbers of each sites, namely $n_i \in \{0, 1\}$. The bulk evolution is given by the transition rates

$$\begin{aligned} w(n^{i+1,i}, n) &= -\langle n^{i,i+1} | H_B | n \rangle = (1 - n_i)n_{i+1}, \\ w(n^{i,i+1}, n) &= -\langle n^{i,i+1} | H_B | n \rangle = n_i(1 - n_{i+1}), \end{aligned} \quad (36)$$

where $n^{i,j}$ is the configuration which is obtained from the configuration n by removing a particle in i and adding it in j .

In operator notation, this is generated by [9]

$$-H_B = \sum_{i=1}^{N-1} \left(S_i^+ S_{i+1}^- + S_i^- S_{i+1}^+ + 2S_i^0 S_{i+1}^0 - \frac{1}{2} \right), \tag{37}$$

where the S 's operators act as

$$\begin{aligned} S_i^+ |n_i\rangle &= (1 - n_i) |n_i + 1\rangle \\ S_i^- |n_i\rangle &= n_i |n_i - 1\rangle \\ S_i^0 |n_i\rangle &= \left(n_i - \frac{1}{2} \right) |n_i\rangle, \end{aligned} \tag{38}$$

and satisfy the SU(2) algebra

$$\begin{aligned} [S_i^0, S_i^\pm] &= \pm S_i^\pm \\ [S_i^-, S_i^+] &= -2S_i^0. \end{aligned} \tag{39}$$

In this stochastic system the constant of motion—in the absence of reservoirs—is the total number of particles

$$E = \sum_{i=1}^N \left(S_i^0 + \frac{1}{2} \right). \tag{40}$$

This is obviously a conserved quantity for a particle jump process,

$$[E, H_B] = 0, \tag{41}$$

as can be immediately checked using the commutation relations (39).

When the system is coupled to reservoirs at different chemical potentials, the boundaries can inject or absorb particles from the system. We assume that particles are injected at site 1 at rate ρ_L and they are removed at rate $(1 - \rho_L)$; and injected at site N at rate ρ_R and removed at rate $(1 - \rho_R)$. The boundaries evolution operators then read

$$-H_L(\rho_L) = \rho_L \left(S_1^+ + S_1^0 - \frac{1}{2} \right) + (1 - \rho_L) \left(S_1^- - S_1^0 - \frac{1}{2} \right) \tag{42}$$

$$-H_R(\rho_R) = \rho_R \left(S_N^+ + S_N^0 - \frac{1}{2} \right) + (1 - \rho_R) \left(S_N^- - S_N^0 - \frac{1}{2} \right) \tag{43}$$

The constants ρ_L and ρ_R are to interpreted as the densities of the reservoirs. When the two reservoirs have the same densities $\rho_L = \rho_R = \rho$, the stationary state $|\psi\rangle_\nu$ is the Boltzman-Gibbs equilibrium measure

$$|\psi\rangle_\nu = |v\rangle = \sum_{\{n\}} \frac{e^{\nu E}}{Z} |n\rangle, \tag{44}$$

where the chemical potential $\nu = \ln\left(\frac{\rho}{1-\rho}\right)$ is such that

$$\langle E \rangle = \langle -|E|v \rangle = \rho. \tag{45}$$

As it is immediately checked, in this case the equilibrium Gibbs measure is a Bernoulli product measure with parameter $\rho = e^v/(1 + e^v)$

$$|\rho\rangle = \bigotimes_{i=1}^N |\rho\rangle_i = \bigotimes_{i=1}^N \left(\rho|1\rangle_i + (1 - \rho)|0\rangle_i \right). \quad (46)$$

4 Bulk Current and ‘Helical’ Operator

We wish to study the transport of the quantity E in the presence of reservoirs. Our first step is to express the current in the bulk in terms of a ‘helical’ operator.

The current which passes through the system is defined via the continuity equation

$$\frac{dE}{dt} + \nabla \mathcal{J} = 0. \quad (47)$$

Since E is the sum of N local contributions, see (3), we can write a local continuity equation as

$$\frac{dE_s}{dt} = -(\mathcal{J}_{s,s+1} - \mathcal{J}_{s-1,s}), \quad s = 1, \dots, N, \quad (48)$$

where $\mathcal{J}_{s,s+1}$ is the current from site s to site $s + 1$, and the total *bulk* current is defined as

$$\mathcal{J} = \sum_{s=1}^{N-1} \mathcal{J}_{s,s+1}. \quad (49)$$

For a stochastic system, $\mathcal{J}_{s,s+1}$ may depend explicitly on the random noise. We shall consider a set of operators $J_{s,s+1}$ such that they coincide with the average of $\mathcal{J}_{s,s+1}$ over random realisations

$$\langle \mathcal{J}_{s,s+1}(t) \rangle_{\text{noise}} = \langle -|J_{s,s+1}|\Psi(t) \rangle; \quad \langle \mathcal{J}(t) \rangle_{\text{noise}} = \langle -|J|\Psi(t) \rangle \quad (50)$$

Defining the *helical* operator

$$A = \sum_{s=1}^N s E_s. \quad (51)$$

J can be expressed as the commutator between it and the bulk evolution operator, that is

$$J = [A, H_B] \quad (52)$$

Indeed, for an open chain (namely $\lambda = 0$) we have

$$\langle -| \left[[A, H_B] - \frac{dA}{dt} \right] |\Psi(t) \rangle = 0. \quad (53)$$

Making use of the continuity equation (48), we then have

$$\langle -|[A, H_B]|\Psi(t) \rangle = \langle -|\frac{dA}{dt}|\Psi(t) \rangle = \sum_{s=1}^N s \langle -|\frac{dE_s}{dt}|\Psi(t) \rangle = \sum_{s=1}^N s \langle \mathcal{J}_{s-1,s} - \mathcal{J}_{s,s+1} \rangle. \quad (54)$$

Since $\mathcal{J}_{0,1} = \mathcal{J}_{N,N+1} = 0$ for an open chain:

$$\langle -[A, H_B]|\Psi(t)\rangle = \sum_{s=2}^N s \langle -|J_{s-1,s}|\Psi(t)\rangle - \sum_{s=1}^{N-1} s \langle -|J_{s,s+1}|\Psi(t)\rangle, \tag{55}$$

and making the change $s \rightarrow s + 1$ in the first summation we find

$$\langle -[A, H_B]|\Psi(t)\rangle = \sum_{s=1}^{N-1} \langle \mathcal{J}_{s,s+1} \rangle = \langle \mathcal{J} \rangle = \langle -|J|\Psi(t)\rangle. \tag{56}$$

Remark 1 The correspondence between J and \mathcal{J} is via expectation values. Note however that expectations of products yield extra terms in stochastic systems: $\langle \mathcal{J}^2 \rangle = \langle -|J^2|\Psi \rangle +$ extra terms. This is the origin of extra terms in the formulas below.

Remark 2 In the definition of the helical operator one can always add a term whose total derivative with respect to time is zero. Later, to make the role of the boundaries more symmetric, we will consider

$$A = \sum_{s=1}^N s E_s - \frac{N+1}{2} E \tag{57}$$

Example A (Hamiltonian system)

For the system described by the Hamiltonian (26) the current from site s to site $s + 1$ is given by (see [2])

$$\mathcal{J}_{s,s+1} = -\frac{1}{2}(p_s + p_{s+1})V'(q_{s+1} - q_s) \tag{58}$$

Defining the local operators E_s as

$$\begin{aligned} E_1 &= \frac{p_1^2}{2} + \frac{1}{2}V(q_2 - q_1) \\ E_s &= \frac{p_s^2}{2} + \frac{1}{2}\left(V(q_{s+1} - q_s) + V(q_s - q_{s-1})\right) \quad s = 2, \dots, N - 1 \\ E_N &= \frac{p_N^2}{2} + \frac{1}{2}V(q_N - q_{N-1}) \end{aligned} \tag{59}$$

one can check that (52) holds with

$$\begin{aligned} A &= \sum_{s=1}^N s E_s - \frac{N+1}{2} E \\ &= \sum_{s=1}^N s \frac{p_s^2}{2} + \sum_{s=1}^{N-1} (2s+1)V(q_{s+1} - q_s) - \frac{N+1}{2} E \end{aligned} \tag{60}$$

Example B (Simple symmetric exclusion process)

For the system described by the evolution operator (37) the current from site s to site $s + 1$ is

$$J_{s,s+1} = S_s^- S_{s+1}^+ - S_s^+ S_{s+1}^- \tag{61}$$

Equation (52) now holds with

$$\begin{aligned} A &= \sum_{s=1}^N s E_s - \frac{N+1}{2} E \\ &= \sum_{s=1}^L s \left(S_s^0 + \frac{1}{2} \right) - \frac{N+1}{2} E \end{aligned} \quad (62)$$

4.1 A Useful General Identity

The boundary terms $H_{L/R}(\alpha)$ break the conservation law for the quantity E . They depend on a parameter α (e.g. the temperature in the Hamiltonian system example, the chemical potential in the symmetric exclusion process example) and impose a unique stationary equilibrium Boltzmann Gibbs state $|\psi\rangle_{\tilde{\alpha}} = |\tilde{\alpha}\rangle$. We wish to find a convenient way to express the response of the boundary operators $H_{L/R}(\alpha)$ when their parameter α is varied. We claim the following identities hold:

$$\left(\frac{\partial}{\partial \alpha} H_{L/R}(\alpha) \right) |\tilde{\alpha}\rangle = c_\alpha [H_{L/R}(\alpha), E] |\tilde{\alpha}\rangle, \quad (63)$$

where the constant c_α is given by

$$c_\alpha = \frac{\partial \tilde{\alpha}}{\partial \alpha}. \quad (64)$$

This can be proved as follows. We use the fact that the Gibbs-Boltzmann distribution is annihilated by the boundary operators (cf. (25)), and that, because of the Gibbs-Boltzmann form, it satisfies:

$$\frac{\partial}{\partial \tilde{\alpha}} |\tilde{\alpha}\rangle = -(E - \langle E \rangle) |\tilde{\alpha}\rangle \quad (65)$$

where $\langle E \rangle = \langle -|E|\tilde{\alpha}\rangle$. Computing the derivative of (25) with respect to $\tilde{\alpha}$, this in turn gives:

$$\begin{aligned} 0 &= \left(\frac{\partial}{\partial \tilde{\alpha}} H_{L/R}(\alpha) \right) |\tilde{\alpha}\rangle + H_{L/R}(\alpha) \frac{\partial}{\partial \tilde{\alpha}} |\tilde{\alpha}\rangle \\ &= \left(\frac{\partial}{\partial \tilde{\alpha}} H_{L/R}(\alpha) \right) |\tilde{\alpha}\rangle - H_{L/R}(\alpha) (E - \langle E \rangle) |\tilde{\alpha}\rangle \\ &= \left(\frac{\partial}{\partial \tilde{\alpha}} H_{L/R}(\alpha) \right) |\tilde{\alpha}\rangle - [H_{L/R}(\alpha), E] |\tilde{\alpha}\rangle \end{aligned} \quad (66)$$

where we have used (65). Equation (63) then follows.

Example A (Hamiltonian system)

Equation (63) holds with $\alpha = T$, $\tilde{\alpha} = \beta = \frac{1}{T}$ and with $c_T = -\frac{1}{T^2}$.

Example B (Simple symmetric exclusion process)

Equation (63) holds with $\alpha = \rho$, $\tilde{\alpha} = -\nu = \ln\left(\frac{1-\rho}{\rho}\right)$ and with $c_\rho = -\frac{1}{\rho(1-\rho)}$.

5 Linear Response Theory

The conductivity is obtained by calculating the average current in the presence of a small mismatch in the parameters of the reservoirs evolution operators. If the left reservoir is working with a parameter $\alpha_L = \alpha - \delta\alpha$ and the right reservoir is working with a parameter $\alpha_R = \alpha + \delta\alpha$, the evolution equation

$$\frac{d}{dt}|\psi(t)\rangle = -H|\psi(t)\rangle \tag{67}$$

can be solved in a linear response regime. Expanding to first order in $\delta\alpha$ we find

$$H = H_0 + \delta\alpha\lambda\Delta H + o(\delta\alpha) \tag{68}$$

with

$$H_0 = H_B + \lambda(H_R(\alpha) + H_L(\alpha)) \tag{69}$$

$$\Delta H = \left(\frac{\partial}{\partial\alpha}H_R - \frac{\partial}{\partial\alpha}H_L \right) \tag{70}$$

and

$$|\psi(t)\rangle = |\tilde{\alpha}\rangle + \delta\alpha|\Delta\psi(t)\rangle + o(\delta\alpha) \tag{71}$$

with $|\tilde{\alpha}\rangle$ is the stationary state for the unperturbed problem, that is

$$\frac{d}{dt}|\tilde{\alpha}\rangle = -H_0|\tilde{\alpha}\rangle = 0 \tag{72}$$

The solution is

$$|\Delta\psi(t)\rangle = -\lambda \int_0^t dt' e^{-(t-t')H} \Delta H|\tilde{\alpha}\rangle \tag{73}$$

To leading order the average value of the total bulk current will be

$$\begin{aligned} \langle\mathcal{J}(t)\rangle &= \langle -|J|\psi(t)\rangle \\ &= \langle -|J|\tilde{\alpha}\rangle - \delta\alpha\lambda \int_0^t dt' \langle -|J e^{-(t-t')H} \Delta H|\tilde{\alpha}\rangle + o(\delta\alpha) \end{aligned} \tag{74}$$

The conductivity κ_N for a system of size N is defined as

$$\kappa_N = \lim_{t \rightarrow \infty} \frac{\delta\langle\mathcal{J}(t)\rangle}{2\delta\alpha} \tag{75}$$

From (74) the following formula for the conductivity is then deduced:

$$\kappa_N = \lim_{t \rightarrow \infty} -\frac{\lambda}{2} \int_0^t dt' \langle -|J e^{-(t-t')H} \Delta H|\tilde{\alpha}\rangle \tag{76}$$

Thanks to the previous established (63), we have:

$$\Delta H|\tilde{\alpha}\rangle = -c_\alpha H'|\tilde{\alpha}\rangle \tag{77}$$

where we have defined

$$H' = [H_L - H_R, E]. \quad (78)$$

Substituting in (76), we then have

$$\kappa_N = \lim_{t \rightarrow \infty} \frac{\lambda c_\alpha}{2} \int_0^t dt' \langle -|J e^{-(t-t')H} H' |\tilde{\alpha}\rangle \quad (79)$$

5.1 Green-Kubo Formula in the Bulk

The Green-Kubo formula for the conductivity usually involves the current temporal autocorrelation function [10]. To see that formula (79) is indeed the standard Green-Kubo formula we proceed further by using some properties of the reservoirs. Specifically, we use the assumption that the reservoir on the left (resp. on the right) depends only from the phase/configuration variables of the first (resp. last) site. This implies that

$$\begin{aligned} \frac{1}{N} [A, H_L + H_R] &= \frac{1}{N} \left[\sum_{s=1}^N s E_s - \frac{N+1}{2} \sum_{s=1}^N E_s, H_L + H_R \right] \\ &= \frac{1}{N} \left[\left(\frac{1-N}{2} \right) E_1, H_L \right] + \frac{1}{N} \left[\frac{N-1}{2} E_N, H_R \right] \\ &= \frac{N-1}{2N} [H_L - H_R, E] \\ &= \frac{N-1}{2N} H' \end{aligned} \quad (80)$$

On the other hand, we also have

$$\frac{1}{N} [A, H_R + H_L] = \frac{1}{\lambda N} [A, H - H_B] = -\frac{1}{\lambda N} [H, A] - \frac{J}{\lambda N} \quad (81)$$

Putting (80) and (81) together we find

$$\frac{1}{2} H' = -\frac{1}{\lambda(N-1)} (J + [H, A]) \quad (82)$$

The standard Green-Kubo formula is obtained by substituting H' on the right of (79) by the expression found in (82). We have

$$\begin{aligned} \kappa_N &= -\lim_{t \rightarrow \infty} \frac{c_\alpha}{N-1} \int_0^t dt' \langle -|J e^{-(t-t')H} J |\tilde{\alpha}\rangle \\ &\quad - \lim_{t \rightarrow \infty} \frac{c_\alpha}{N-1} \int_0^t dt' \langle -|J e^{-(t-t')H} [H, A] |\tilde{\alpha}\rangle \end{aligned} \quad (83)$$

The term involving the commutator $[H, A]$ can be further simplified as follows

$$\begin{aligned} \lim_{t \rightarrow \infty} \int_0^t dt' \langle -|J e^{-(t-t')H} H A |\tilde{\alpha}\rangle &= \lim_{t \rightarrow \infty} \int_0^t dt' \frac{d}{dt'} \langle -|J e^{-(t-t')H} A |\tilde{\alpha}\rangle \\ &= \langle -|J A |\tilde{\alpha}\rangle \end{aligned} \quad (84)$$

where we have used that $H|\tilde{\alpha}\rangle = 0$ and we have assumed that the J and A have vanishing correlation for very large times. Moreover, by using (52) and the fact that $H_B|\tilde{\alpha}\rangle = \langle -|H_B = 0$, one has that

$$\begin{aligned} \langle -|JA|\tilde{\alpha}\rangle &= \langle -|[A, H_B]A|\tilde{\alpha}\rangle \\ &= \langle -|AH_BA|\tilde{\alpha}\rangle \\ &= \frac{1}{2}\langle -[[A, H_B], A]|\tilde{\alpha}\rangle. \end{aligned} \tag{85}$$

Finally we get

$$\kappa_N = - \lim_{t \rightarrow \infty} \frac{c_\alpha}{N-1} \int_0^t dt' \langle -|J e^{-(t-t')H} J|\tilde{\alpha}\rangle - \frac{c_\alpha}{2(N-1)} \langle -[[A, H_B], A]|\tilde{\alpha}\rangle \tag{86}$$

The second term comes from the fact, already mentioned above, that $\langle -|J e^{-(t-t')H} J|\tilde{\alpha}\rangle$ is, in stochastic systems, the current-current correlation function only up to an equal-time extra term. We distinguish two cases:

- $\lambda = 0$

In this case $H_\lambda = H_B$ and then the current autocorrelation function can be treated as we just did for the extra term:

$$\begin{aligned} \lim_{t \rightarrow \infty} \int_0^t dt' \langle -|J e^{-(t-t')H_B} J|\tilde{\alpha}\rangle &= \lim_{t \rightarrow \infty} \int_0^t dt' \langle -|J e^{-(t-t')H_B} H_B A|\tilde{\alpha}\rangle \\ &= \lim_{t \rightarrow \infty} \int_0^t dt' \frac{d}{dt'} \langle -|J e^{-(t-t')H_B} A|\tilde{\alpha}\rangle \\ &= \langle -|JA|\tilde{\alpha}\rangle \\ &= \frac{1}{2}\langle -[[A, H_B], A]|\tilde{\alpha}\rangle. \end{aligned} \tag{87}$$

This implies that the two terms in (86) cancel each others and, consistently with the fact that there is no coupling to the reservoirs, we find $\kappa_N = 0$ (see [6] for a discussion)

- $\lambda \neq 0$

This time κ_N is different from zero and is, in general, the sum of two competing terms: the time integral of the current autocorrelation function and an extra-term which is purely due to randomness.

Example A (Hamiltonian system)

The commutator in (86) vanishes in the Hamiltonian case. Indeed we have:

$$[A, H_B]f = A\{E, f\} - \{E, Af\} \quad \forall f \tag{88}$$

from which it follows that

$$\begin{aligned} [[A, H_B], A]f &= [A, H_B]Af - A[A, H_B]f \\ &= A\{E, Af\} - \{E, A^2f\} - A^2\{E, f\} + A\{E, Af\} \\ &= 0 \end{aligned} \tag{89}$$

Inserting $\alpha = T$ and $c_T = -\frac{1}{T^2}$ into (86), the Green-Kubo formula for the conductivity then reads

$$\kappa_N = \lim_{t \rightarrow \infty} \frac{1}{T^2(N-1)} \int_0^t dt' \langle -|J e^{-(t-t')H} J|\beta \rangle \quad (90)$$

Example B (Simple symmetric exclusion process)

In this case the commutator in (86) is non-zero. We have

$$[A, H_B] = J = \sum_{s=1}^{N-1} (S_s^- S_{s+1}^+ - S_s^+ S_{s+1}^-) \quad (91)$$

and

$$[[A, H_B], A] = - \sum_{s=1}^{N-1} (S_s^- S_{s+1}^+ + S_s^+ S_{s+1}^-) \quad (92)$$

Recalling that for the SSEP with reservoirs having the same chemical potential the equilibrium state is given by a Bernoulli product measure, see (46), an immediate computation gives

$$\langle -|[[A, H_B], A]|\tilde{\alpha} \rangle = -2\rho(1-\rho)(N-1) \quad (93)$$

This implies the following expression for the conductivity:

$$\kappa_N = -1 + \lim_{t \rightarrow \infty} \frac{1}{(N-1)\rho(1-\rho)} \int_0^t dt' \langle -|J e^{-(t-t')H} J|\rho \rangle \quad (94)$$

As a final remark of this section, let us check that in the thermodynamical limit the correct value of the conductivity is recovered. In order to evaluate the contribution due to the current autocorrelation function we observe that in the thermodynamic limit $N \rightarrow \infty$, whatever the value of $\lambda < \infty$, the boundaries will be negligible. This lead us to evaluate this term for the *infinite volume system*:

$$\begin{aligned} & \lim_{N \rightarrow \infty} \lim_{t \rightarrow \infty} \frac{1}{(N-1)\rho(1-\rho)} \int_0^t dt' \langle -|J e^{-(t-t')H} J|\rho \rangle \\ &= \frac{2}{\rho(1-\rho)} \int_0^\infty dt' \langle \mathcal{J}_{0,1}(0) \mathcal{J}_{0,1}(t') \rangle \end{aligned} \quad (95)$$

where $\langle \cdot \rangle$ denotes expectation with respect to the equilibrium state. We can then use the duality property for the model and specifically the following results:

$$\langle (n_x(t) - \rho)(n_0(0) - \rho) \rangle = \rho(1-\rho)p_t(x) \quad (96)$$

where $p_t(x)$ is the probability that a continuous time simple symmetric random walk jumping left or right at rate 1, started at the origin at time zero, is found at site x at time t . Using duality we have

$$\begin{aligned} \langle \mathcal{J}_{0,1}(0) \mathcal{J}_{0,1}(t') \rangle &= \langle (n_1(0) - n_0(0))(n_1(t') - n_0(t')) \rangle \\ &= \rho(1-\rho) (-p_{t'}(-1) + 2p_{t'}(0) - p_{t'}(1)) \end{aligned}$$

$$= -\rho(1 - \rho) \frac{d}{dt'} p_{t'}(0) \tag{97}$$

Putting together (95) and (97) we arrive to

$$\begin{aligned} \lim_{N \rightarrow \infty} \lim_{t \rightarrow \infty} \frac{1}{(N - 1)\rho(1 - \rho)} \int_0^t dt' \langle -|J e^{-(t-t')H} J|\rho \rangle &= -2(p_\infty(0) - p_0(0)) \\ &= 2 \end{aligned} \tag{98}$$

Inserting this result in (94) we finally find (as it should be!):

$$k = \lim_{N \rightarrow \infty} \kappa_N = -1 + 2 = 1 \tag{99}$$

5.2 Green-Kubo Formula for the Boundaries

Here we follow the opposite strategy of the previous section. Recall expression (79) for the conductivity:

$$\kappa_N = \lim_{t \rightarrow \infty} \frac{\lambda c_\alpha}{2} \int_0^t dt' \langle -|J e^{-(t-t')H} H'|\tilde{\alpha} \rangle \tag{100}$$

This time we express J in terms of H' by inverting relation (82), that is:

$$J = -\frac{\lambda(N - 1)}{2} H' - [H, A] \tag{101}$$

This yields the following expression

$$\begin{aligned} \kappa_N &= -\lim_{t \rightarrow \infty} \frac{\lambda^2 c_\alpha (N - 1)}{4} \int_0^t dt' \langle -|H' e^{-(t-t')H} H'|\tilde{\alpha} \rangle \\ &\quad - \lim_{t \rightarrow \infty} \frac{\lambda c_\alpha}{2} \int_0^t dt' \langle -|[H, A] e^{-(t-t')H} H'|\tilde{\alpha} \rangle \end{aligned} \tag{102}$$

As in the previous case the term involving the commutator $[H, A]$ can be simplified by using the fact that it is the integral of a time-derivative, and that the correlations of A and H' vanish at widely separated times:

$$\begin{aligned} \kappa_N &= -\lim_{t \rightarrow \infty} \frac{\lambda^2 c_\alpha (N - 1)}{4} \int_0^t dt' \langle -|H' e^{-(t-t')H} H'|\tilde{\alpha} \rangle \\ &\quad - \frac{\lambda c_\alpha}{2} \langle -|A H'|\tilde{\alpha} \rangle \end{aligned} \tag{103}$$

The extra term can be rearranged as follows. Recalling the definition of H' , (78), and using the fact that H_L and H_R annihilate the equilibrium measure we have

$$\begin{aligned} \langle -|A H'|\tilde{\alpha} \rangle &= \langle -|A[H_L - H_R, E]|\tilde{\alpha} \rangle \\ &= \langle -|A(H_L - H_R)E|\tilde{\alpha} \rangle \end{aligned} \tag{104}$$

Now we use that H_L (resp. H_R) commutes with all the E_i with $i \neq 1$ (resp. $i \neq N$). This yields:

$$\langle -|A H'|\tilde{\alpha} \rangle = -\frac{N - 1}{2} \langle -|E(H_L + H_R)E|\tilde{\alpha} \rangle$$

$$= \frac{N-1}{4} \langle -|[E, [E, H_L + H_R]]|\tilde{\alpha} \rangle \quad (105)$$

and the final expression for the Green-Kubo formula for the boundaries read:

$$\begin{aligned} \kappa_N = & - \lim_{t \rightarrow \infty} \frac{\lambda^2 c_\alpha (N-1)}{4} \int_0^t dt' \langle -|H' e^{-(t-t')H} H'|\tilde{\alpha} \rangle \\ & - \frac{\lambda c_\alpha (N-1)}{8} \langle -|[E, [E, H_L + H_R]]|\tilde{\alpha} \rangle \end{aligned} \quad (106)$$

We will show below that the extra term is non-zero for both the Hamiltonian chain and the SEP and has actually the same value.

Example A (Hamiltonian system)

The extra term is evaluated as

$$\begin{aligned} \langle -|[E, [E, H_L + H_R]]|\beta \rangle &= \langle -|[E_1, [E_1, H_L]]|\beta \rangle + \langle -|[E_N, [E_N, H_R]]|\beta \rangle \\ &= -2 \langle -|E_1 H_L E_1|\beta \rangle - 2 \langle -|[E_N H_R E_N|\beta \rangle \end{aligned} \quad (107)$$

We have

$$\begin{aligned} \langle -|E_1 H_L E_1|\beta \rangle &= - \langle -| \frac{p_1^2}{2} \frac{\partial}{\partial p_1} \left(T \frac{\partial}{\partial p_1} + p_1 \right) \frac{p_1^2}{2} |\beta \rangle \\ &= T \langle -|p_1^2|\beta \rangle \\ &= T^2 \end{aligned} \quad (108)$$

where we have used the fact that $\frac{\partial}{\partial p_1}$ annihilates the flat measure to the left and $(T \frac{\partial}{\partial p_1} + p_1)$ annihilates the equilibrium measure to the right, together with

$$\left[\frac{p_1^2}{2}, \frac{\partial}{\partial p_1} \right] = -p_1 \quad (109)$$

$$\left[T \frac{\partial}{\partial p_1} + p_1, \frac{p_1^2}{2} \right] = T p_1 \quad (110)$$

Analogously we find that

$$\langle -|E_N H_R E_N|\beta \rangle = T^2 \quad (111)$$

so that the final result for the extra term in the thermodynamic limit is:

$$\frac{\lambda c_\alpha (N-1)}{8} \langle -|[E, [E, H_L + H_R]]|\beta \rangle = \frac{\lambda (N-1)}{2} \quad (112)$$

Example B (Simple symmetric exclusion process)

With

$$H_L = -\rho \left(S_1^+ + S_1^0 - \frac{1}{2} \right) - (1-\rho) \left(S_1^- - S_1^0 - \frac{1}{2} \right) \quad (113)$$

we have

$$\langle -|[E, [E, H_L]]|\rho \rangle = \langle -|[E_1, [E_1, H_L]]|\rho \rangle$$

$$= \langle -| - \rho S_1^+ - (1 - \rho) S_1^- | \rho \rangle \tag{114}$$

Because $(S_1^+ + S_1^0 - \frac{1}{2})$ and $(S_1^- - S_1^0 - \frac{1}{2})$ separately annihilate the flat measure, we can substitute S_1^\pm by S_1^0 's to get

$$\begin{aligned} \langle -|[E, [E, H_L]]|\rho \rangle &= \langle -|\rho \left(S_1^0 - \frac{1}{2} \right) + (1 - \rho) \left(-S_1^0 - \frac{1}{2} \right) |\rho \rangle \\ &= -\rho(1 - \rho) - (1 - \rho)\rho \\ &= -2\rho(1 - \rho) \end{aligned} \tag{115}$$

The extra term is then:

$$\frac{\lambda c_\alpha(N - 1)}{8} \langle -|[E, [E, H_L + H_R]]|\rho \rangle = \frac{\lambda(N - 1)}{2} \tag{116}$$

6 Stiffness

Let us now compute the stiffness. We have a ‘quantum-like’ Hamiltonian $H = H_B + \lambda(H_L + H_R)$ where the bulk term is “symmetric” with respect to transformations generated by E , since $[H_B, E] = 0$. H_L and H_R are the boundary ‘handles’ that break the symmetry generated by E . In order to calculate the stiffness, we **twist** the boundaries, i.e. we apply the transformation in opposite directions:

$$H_L \rightarrow H_L^\theta = e^{i\theta E} H_L e^{-i\theta E}, \quad H_R \rightarrow H_R^\theta = e^{-i\theta E} H_R e^{i\theta E} \tag{117}$$

As a consequence of the twist the spectrum of the transformed Hamiltonian H^θ will be different from the one of the original Hamiltonian H . We define the stiffness σ in terms of the lowest eigenvalue of H^θ :

$$\epsilon^\theta = - \lim_{t \rightarrow \infty} \frac{\ln(\langle -|e^{-tH^\theta}|\hat{\alpha} \rangle)}{t} \tag{118}$$

Clearly, $e^{\theta=0} = 0$. We define

$$H^\theta = H_L^\theta + H_R^\theta \tag{119}$$

Developing up to order θ^2 we have

$$\begin{aligned} \Delta H \equiv H^\theta - H &= e^{i\theta E} H_L e^{-i\theta E} + e^{-i\theta E} H_R e^{i\theta E} - (H_L + H_R) \\ &= -i\theta H' - \frac{\theta^2}{2} ([E, [E, H_L + H_R]]) + \dots \end{aligned}$$

where H' is defined in (78).

Application of time-dependent perturbation theory with expansion parameter θ gives

$$\begin{aligned} &\ln \left(\langle -|e^{-tH^\theta}|\psi(t) \rangle \right) \\ &= \ln \left(1 + \lambda \int_0^t dt' \langle -|\Delta H|\tilde{\alpha} \rangle + \frac{\lambda^2}{2} \int_0^t dt' \int_0^{t'} dt'' \langle -|\Delta H e^{-(t''-t')H_B} \Delta H|\tilde{\alpha} \rangle + \dots \right) \end{aligned}$$

$$\begin{aligned}
&= \ln \left(1 - \theta^2 \frac{\lambda}{2} t \langle - | ([E, [E, H_L + H_R]]) | \tilde{\alpha} \rangle \right. \\
&\quad \left. - \theta^2 \frac{\lambda^2}{2} \int_0^t dt' \int_0^{t'} dt'' \langle - | H' e^{-(t''-t')H_B} H' | \tilde{\alpha} \rangle + \dots \right) \quad (120)
\end{aligned}$$

We find for the increase in the lowest eigenvalue

$$\begin{aligned}
\epsilon^\theta - \epsilon^0 &\sim \frac{1}{2} \frac{\sigma_N}{(N-1)} (2\theta)^2 \\
&= - \lim_{t \rightarrow \infty} \frac{\ln(\langle - | e^{-t\tilde{H}} | \psi(t) \rangle)}{t} \\
&= - \lim_{t \rightarrow \infty} \frac{\theta^2 \lambda}{2} \langle - | ([E, [E, H_L + H_R]]) | \tilde{\alpha} \rangle \\
&\quad - \lim_{t \rightarrow \infty} \theta^2 \lambda^2 \int_0^t dt' \langle - | H' e^{-(t-t')H_B} H' | \tilde{\alpha} \rangle
\end{aligned}$$

Recalling the expression (106) for the conductivity

$$\begin{aligned}
\frac{2\kappa_N}{c_\alpha(N-1)} &= - \lim_{t \rightarrow \infty} \frac{\theta^2 \lambda^2}{2} \int_0^t dt' \langle - | H' e^{-(t-t')H} H' | \tilde{\alpha} \rangle \\
&\quad - \lim_{t \rightarrow \infty} \frac{\theta^2 \lambda}{4} \langle - | [E, [E, H_L + H_R]] | \tilde{\alpha} \rangle \quad (121)
\end{aligned}$$

one obtains the following relation between the conductivity and the stiffness:

$$\kappa_N = c_\alpha \sigma_N. \quad (122)$$

Stiffness and conductivity are proportional up to a trivial factor.

7 Conclusions

When a system has a conserved bulk quantity, its bulk evolution operator has a symmetry. Current transport induced by boundary ‘reservoir’ terms corresponds in all generality to a twist exerted applying the symmetry transformation in opposite senses to the boundary terms. The conductivity of the system is in this view the stiffness, or helicity modulus, associated with this operation.

This mechanical analogy of transport can be taken further. For example, one easily understands that in an elastic system any local perturbation that couples with torsion will have long-range effects: the analogy discussed here means that the same can be said of perturbations of systems with conserved quantities [11].

One can also express other methods in these terms. For example, the strategy of coupling auxiliary thermal baths along the chain, with their temperature fixed so that they exchange no current with the chain on average [12] can be expressed in mechanical terms as the usual strategy of statistical mechanics of coupling symmetry-breaking fields along a chain, adjusted so that they exert no average force.

The derivation in this paper was made for classical and stochastic systems, in contact with reservoirs at the ends. The generalization to a quantum system with baths can be made

through the Feynman-Vernon [13–15] formalism. It is not at this point clear to us how this may be related to the approach of Kohn [4] and Shastry and Sutherland [5], where the twist is applied to the Hamiltonian (rather than the evolution operator) of a closed chain.

References

1. Bonetto, F., Lebowitz, J., Rey-Bellet, L.: Fourier's law: a challenge for theorists. In: Fokas, A., Grigoryan, A., Kibble, T., Zegarlinsky, B. (eds.) *Mathematical Physics 2000*, pp. 128–150. Imperial College, London (2000)
2. Lepri, S., Livi, R., Politi, A.: *Phys. Rep.* **377**, 1 (2003)
3. Rieder, Z., Lebowitz, J.L., Lieb, E.: *J. Math. Phys.* **8**, 1073 (1967)
4. Kohn, W.: *Phys. Rev.* **133**, A171 (1964)
5. Shastry, B.S., Sutherland, B.: *Phys. Rev. Lett.* **65**, 243 (1990)
6. Rigol, M., Shastry, B.S.: *Phys. Rev. B* **77**, 161101(R) (2008)
7. Fisher, M.E., Barber, M.N.: *Phys. Rev. A* **8**, 1111 (1973)
8. Gaspard, P.: *Phys. Rev. E* **53**, 4379 (1996)
9. Schütz, G., Sandow, S.: *Phys. Rev. E* **49**, 2726 (1994)
10. Kubo, R., Toda, M., Hashitsume, N.: *Statistical Physics II: Nonequilibrium Statistical Mechanics*. Springer, Berlin (1985)
11. Belitz, D., Kirkpatrick, T.R., Vojta, T.: *Rev. Mod. Phys.* **77**, 579 (2005)
12. Bolsterli, M., Rich, M., Visscher, W.M.: *Phys. Rev. A* **4**, 1086 (1970)
13. Keldysh, L.V.: *Zh. Eksp. Teor. Fiz.* **47**, 1515 (1964). (*Sov. Phys. JETP* **20**, 235 (1965))
14. Feynman, R.P., Vernon, F.L.: *Ann. Phys.* **24**, 118 (1963)
15. Caldeira, A., Leggett, A.: *Phys. Rev. A* **31**, 1059 (1985)